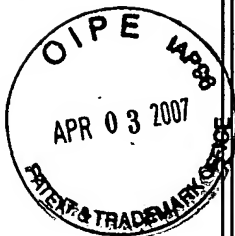


FD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): Peter Szor
Assignee: Symantec Corporation
Title: KERNEL MODE OVERFLOW ATTACK PREVENTION SYSTEM AND METHOD
Serial No.: 10/781,207 Filed: February 17, 2004
Examiner: Unassigned Group Art 2182
Unit:
Docket No.: SYMC1048

Monterey, CA
March 30, 2007

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each non-US Patent document is enclosed) to the attention of the Examiner:

U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME
1)	6,199,181	03/06/01	Rechef et al.
2)	6,658,571	12/02/03	O'Brien et al.
3)	6,718,414	04/06/04	Doggett
4)	7,085,928	08/01/06	Schmid et al.

OTHER DOCUMENTS

1)	Choi et al., "A New Stack Buffer Overflow Hacking Defense Technique with Memory Address Confirmation", <u>ICICS 2001: 4th International Conference, Seoul, Korea, December 6-7, 2001, Proceedings, pages 146-159. Retrieved from the Internet: <URL:http://www.springerlink.com/content/x8tn836pk6wyp8kw/fulltext.pdf>.</u>
2)	Xenitellis, "Security Vulnerabilites in Event-Driven Systems", <u>Conference on Security in the Information Society: Visions and Perspectives, Cairo, Egypt, May 7-9, 2002, Proceedings, pages 147-160. Retrieved from the Internet: <URL:http://www.isg.rhul.ac.uk/~simos/pub/OLD/SecurityVulnerabilitiesInEventdrivenSystems.pdf>.</u>

A PTO form 1449 listing these documents is enclosed.

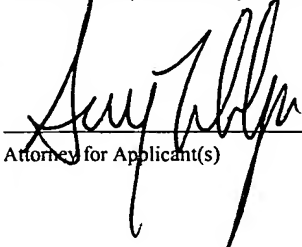
Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

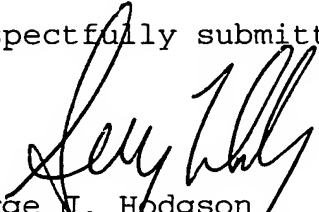
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 30, 2007.



Attorney for Applicant(s)

March 30, 2007
Date of Signature

Respectfully submitted,


Serge J. Hodgson
Attorney for Applicant(s)
Reg. No. 40,017
(831) 655-0880

Form PTO-1449

Atty Docket No.

Serial No.

SYMC1048

10/781,207

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

Applicant(s)

Peter Szor

Filing Date

February 17, 2004

Group

2182

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,199,181	03/06/01	Rechef et al.	714	38	
	AB	6,658,571	12/02/03	O'Brien et al.	713	200	
	AC	6,718,414	04/06/04	Doggett	710	267	
	AD	7,085,928	08/01/06	Schmid et al.	713	164	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Choi et al., "A New Stack Buffer Overflow Hacking Defense Technique with Memory Address Confirmation", ICICS 2001: 4 th International Conference, Seoul, Korea, December 6-7, 2001, Proceedings, pages 146-159. Retrieved from the Internet: <URL:http://www.springerlink.com/content/x8tn836pk6wyp8kw/fulltext.pdf>.
AS	Xenitellis, "Security Vulnerabilities in Event-Driven Systems", Conference on Security in the Information Society: Visions and Perspectives, Cairo, Egypt, May 7-9, 2002, Proceedings, pages 147-160. Retrieved from the Internet: <URL:http://www.isg.rhul.ac.uk/~simos/pub/OLD/SecurityVulnerabilitiesInEvent-drivenSystems.pdf>.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

